The Kiowa-Tanoan continuum and the dual role of agreement Agreement workshop, Goethe Universität, Frankfurt, 14 July 2016 Daniel Harbour, Queen Mary University of London

(1) Two aims of syntactic theory

- (a) restrictive theory of crosslinguistic variation
- (b) a restrictive inventory of syntactic primitives

(2) Aims today

- (a) to present a continuum of person-conditioned argument structure restrictions in Kiowa-Tanoan
- (b) to show that relatively minor alterations in the feature structure of argument selecting heads generates a significant portion of that continuum

(3)		Kiowa	Tewa	Jemez,	Isletan	Southern
				Taos		Tiwa
	*: <i>y</i> :1/2, * <i>x</i> : <i>y</i> :1/2 (PCC)	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark
	differential object marking		\checkmark	\checkmark	\checkmark	\checkmark
	*3:1/2, *3:1/2:3			\checkmark	\checkmark	\checkmark
	*3:3:3				(\checkmark)	\checkmark

(4) Structure of the talk

- (a) Kiowa: take a syntactic account of syncretisms and show that it derives the person case constraint
- (b) Tewa: take what distinguishes third person applicatives from third person objects in Kiowa, apply it within third person objects and show that it derives object "advancement" (Tewa as *leista* Kiowa)
- (c) Jemez, Taos, Isletan/Southern Tiwa: take the argument selection mechanism for Kiowa applicatives, apply to external argument selection, and show that it derives restrictions on third person agents

(5) Other issues

- (a) Jemez, Taos, Isletan/Southern Tiwa: how to get 1/2:2/1, 1/2:2/1:3
- (b) Jemez, Taos (and Isleta): how to get 3:3:3 without 3:1/2, 3:1/2:3
- (c) Precise syntactic loci and featural specifications of all the morphological bits and bobs: agent markers, argument structure affixes, agreement, ...
- (d) Weak person case constraints

(6) Case syncretism

(a) French: *me*, *te*, *nous*, *vous* are morphologically invariant between applicative (DAT) and object (ACC) readings; third person varies, applicative *lui*, *leur* vs object *le*, *la*, *les*

- (b) Kiowa: similar (dis)parities, but because agreement is a tightly fused prefix registering features of up to three arguments, greater scrutiny is required. Simple example: *máu* is transitive 3S:2D ('it saw you') and applicative 2D:3S ('you have it') vs nonsyncretic transitive 3S:3D ϱ ('it saw them') and applicative :3D:3S $m\acute{e}$ ('they have it')
- (c) Interpretation: third person applicatives differ from third person objects featurally, and the thing that being an applicative adds is something that first and second person already have

(7) ±participant

- (a) \pm participant partitions persons into nonthird vs third (1/2 vs 3)
- (b) Claim I: nonthird persons are inherently specified for ±participant, third persons are not (for them, number (and gender) information suffices semantically)
- (c) Claim II: Appl comes equipped with number features to check the object and with ±participant with which it selects its specifier
- (d) Rationale for selection by ±participant: being an applicative argument is about being an experiencer (of benefit, possession, transfer, ...); first and second persons, the interlocutors, are prime examples of such experiencers; so, their defining feature can be used in the syntax as a proxy for a sui generis experiencer feature

(8) Consequences

- (a) Case syncretism: third person objects have just number, but third person applicatives have number *and*, via the mechanism of selection, —participant. The two thus diverge featurally; hence their morphological disparity. In contrast, first and second person arguments must be specified for ±participant, or else their semantics isn't derivable
- (b) Person case constraint: if the direct object is specified for ±participant (is first or second person), then it will agree with Appl's ±participant feature, exhausting the selectional feature before the specifier is merged—complementary distribution
- (9) Thought experiment I: what if a language could just assign —participant to third person objects?
 - (a) Interpretative effect: —participant-objects bear the proxy feature for experiencehood, so must be capable of experience
 - (b) Case syncretism for third person: applicative and object become featurally indistinguishable
 - (c) Person case constraint for third person objects
 - (d) Objects may pattern with applicatives in other language-particular ways

(10) Interpretative effect: animate versus inanimate objects

Table 1: (In/di)transitive agreement prefixes (San Juan Tewa)

			:3INAN
1s	0		dó
1D	ga		ân
11	gi		ây
2s	un		nâa
2D	da		dân
21	ĺ		bîn
3s	na		i
3D	da		dân
31	di		dây
3Р	na		
2/3:1	dí		dîn
1:2s	wí		wîn
1:2D		wân	
1:21		wây	
3:2s	wóe		wôn
3:2D		wovân	
3:21		wovây	
1s:3s.an <i>dó</i>			$d\hat{o}n$
1s:3d.an		dovân	
1s:3i.an		dovây	
1D:3AN		ân	
11:3AN		ây	
2s:3s.an	nâa		mân
2s:3d.an		ovân	
2s:31.AN		ovây	
2D:3AN		dân	
21:3AN		bîn	
3:3s.an	<i>óe</i>		ôn
3:3D.AN		ovân	
3:31.AN		ovây	

- (a) *óe- mû'* 3S:3S.AN-saw
 - 'He (coyote) saw him (rabbit)'
- (b) *i-* $m\hat{u}$ '
 3S:3S-saw
 'He (coyote) saw it (cloud)'
- (c) *óvây- t'e'yan*3S:3I.AN-scolded
 'He (chief wolf) scolded them (wolves)'
- (b) *i-* $m\hat{u}$ '
 3S:3P-saw
 'He (coyote) saw them (clouds)'

(11) Dative likeness

- (a) Inanimate third person object agreement is invariant for number (examples b, d). Animate third person objects vary for number (a, c), just as, e.g., second person objects do (e.g., 1:2s wi, 1s:21 wây).
- (b) Moreover, the form of animate object agreement looks dative.
- (c) Nonsingular: full going syncretism. Just as transitive 1:2D *wân* and ditransitive 1:2D:3 *wân* are identical, so are transitive 1:3D.AN *dovân* and ditransitive 1:3D.AN:3 *dovân*
- (d) Singular: morphological composition. Just as transitive 3:2s *wóe* differs from ditransitive 3:2s:3 *wôn* via -*^n* (and vowel length), so transitive 3:3s.AN *óe* differs from ditransitive 1:3s:3 *ôn* via -*^n* (and vowel length).

(12) Third person case constraint

- (a) Suppression of animacy marking in cases where it would bleed licensing of an applicative
- (b) Näwe dovân- 'qh- kheh- hon here 1s:3D.AN-foot-chase-bring 'This is where I've tracked them (kitten and lamb) to'
- (b) Dîn- ts'úde-í i- n to khän p'ônbay 2:1:3-bring in.FUT the-I anaph lion head 'Bring me the skull of the lion'
- (c) Dîn- pee-yôn
 2:1:3(D.AN)-exit-command.IMP

 'Tell them (kitten, lamb) to come out for me'
- (d) *2:1+:3D.AN = $di + ov\hat{a}n$
- (13) Other applicative-like patterning: incorporation only for \pm participant-free objects
 - (a) Naa-di wây- píví- má'í

 I- AGT 1:21:3-meat-bring.FUT
 'I'll bring you the meat'

- (b) Naa-di wí píví wîn- hóewayni I- AGT some meat 1:2s:3-go get.FUT 'I'll go get you some meat'
- (c) *I pu'ay óe- yóe'an i P'osewhâa Sedó- di* the rabbit.DIM 3:3S.AN-left the coyote old man-AGT 'Old Man Coyote left the little rabbit'
- (d) *Óe- pu'ay- yóe'an i P'osewhâa Sedó- di 3:3S.AN-rabbit.DIM-left the coyote old man-AGT (such examples are absent from the corpus)
- (14) Thought experiment II: what if a language selected third person agents as Appl selects applicatives?
 - (a) Direct objects marked as animate cannot be acted on by third person agents
 - (b) Third persons cannot act on applicatives of any kind
- (15) Southern Tiwa
 - (a) Hliawra-de Ø- seuan-mu-ban woman- BAS 3S:3S-man- see-PST 'The woman saw the man'
 - (b) *Hliawra-de seuan-ide 0- mu-ban woman- BAS man- BAS 3S:3S-see-PST 'The woman saw the man'
 - (c) (Hliawra-de- ba) seuan-ide Ø- mu-che- ban woman- BAS-by man- BAS 3S-see-PASS-PST 'The man was seen by the man'
- (16) ±participant marks discourse centrality, rather than (just) discourse animacy (only one third person can be so designated per clause; cf, obviative/proximate) the (non-function-word) glossing is very approximate, Picurís (Northern Tiwa) is a language I've yet to grapple with
 - (a) *K'ōlomate* 0- *p'ā- tai- men 'q-* **kāl-** *wān*. by gourd 3S:3S-water-pour-as :3S:3S-wolf-came 'As she was pouring water with a gourd, a wolf came to her.'
 - (b) "Heyo 'q- t'a-hu?" tcexqmen 0- 'qm-mia. what 2s:3s-do-PROG then 3s-tell-PASS "What are you doing?" she was asked.'
 - (c) "Ti- $p'\bar{a}$ tai- hu," $t\bar{a}u$ ene θ tal- 'em-e.

 1S:3S-water-pour-PROG woman-BAS 3S:3S-wolf-tell- PST "I'm pouring water," the woman told the wolf."
 - (d) "'A 'el- tai xui," tcexamen kāl- ene pa Ø- 'om-mia. 2S:RX back-climb then then wolf-BAS by 3S-tell- PASS "Climb on my back then," she was told by the wolf.

- (e) ... Tcexamen kāl- ene pa tīu- ene p'im- mākwil Ø- 'o[li]a. then wolf-BAS by woman-BAS mountains-wards 3s-take.PASS 'And the wolf took the woman up to the mountains.'
- (f) ... Mentcoho nopēn'au wen sēn- ene pa tīu ene 0- thā- mia. then at midnight some man-I by woman BAS 3S-find-PASS 'And then at about midnight the woman was found by one man [DH: some of the men?].'
- (g) Wel 'i- wan- 'aiten 'i- **fū-** lēwe wēwe thëppiu. other 3I-arrive-when 3I:3S-woman-take back home 'When the rest of the men arrived, they took the woman home again.'
- (h) Łūu- enę sēn- enę **pa** Ø- t'ëpha-**lia-** hu. woman-BAS man-I by 3S-scold- PASS-PROG 'The woman was very much scolded by the men.'
- (17) Prediction: passive for all ditransitives with third person agents
 - (a) Any applicative, whether third or nonthird, bears \pm participant, which bleeds v of the selectional feature for third person agents, forcing an agentless construction, viz, passive
 - (b) (*Hliawra-de- ba*) *in/a- 'u'u- wia- che- ban* woman- BAS-by :1S/3S:3S-child.DIM-give-PASS-PST 'I/(s)he was given the baby (by the woman)'
- (18) Missing piece: non-phi selection
 - (a) Nothing bleeds selection of a first or second person agent. So, there must be some further feature at play. This could be used to permit 3:3:3 in those Tanoan languages that permit it.
 - (b) 'au- nát'awi-'am-bá- k
 3S:3S:3P-gun- do- PNCT-SUB
 '[Then, where he was overtaking the other Navajo,] he fired his gun at him
 [and he dropped the little girl]'
 - (c) Nate 0- nát'awi-'ábé- ban T'etíep-'ide-va Nale 3S-gun- do.PASS-PNCT Navajo-BAS-by '[Just as he got there,] Nale was shot by a Navajo [with a little round pebble in the middle of his forehead]'
 - (d) Huva 'u- nqt'awi-'am-ban then 3S:3P-gun- do- PNCT '[When he went up to the top of the cliff, he saw them running.] He shot. [One of them cried out as he was running.]'